

For All Suppliers

Sub-Section 9 - Compliance

Q1) Does the applicant have any offices, transactions, investments, activities or planned activities in sanctioned targets or any transactions, investments, activities or planned activities with (or in) any person located in sanctioned targets or with (or in) any entity owned or controlled by any entity located in sanctioned targets? A sanctions target means any legal person or entity that is a target of U.S., EU, UAE, UK or UN sanctions or to your knowledge, any other sanctions authority relevant to your institution. Sanctions targets include any party identified on the OFAC list of SDN, OFAC Foreign Sanctions Evaders List, or OFAC Sectorial Sanctions Identifications Lists, and any party identified in, or in annex to, a resolution of the EU or the UN sanctions as a targeted party. U.S. sanctions targets also include at the date of this form any person or entity that is a citizen or resident of Iran, Cuba, Syria, Iran and North Korea. (For guidance please refer to the following link: https://www.treasury.gov/resourcecenter/sanctions/Programs/Documents/Implement_guide_i_cpoa.pdf)

A1)

Q2) Has the applicant, its parent company, or any branch or subsidiary of it or its parent company engaged in any business with sanctioned countries or been the subject of any money laundering or terrorist financing-related proceedings, investigations, sanctions, punitive actions or had fines, convictions or civil enforcement actions imposed on it during the last five years?

A2)

Q3) Has the applicant, its parent company, or any branch or subsidiary of it or its parent company engaged in any business with sanctioned countries or been the subject of any money laundering or terrorist financing-related proceedings, investigations, sanctions, punitive actions or had fines, convictions or civil enforcement actions imposed on it during the last five years?

A3)

Q4) Are there any Politically Exposed Persons (PEPs) among your institution's ownership structure or in executive management? If yes, please provide details (role, name, nationality, date of birth)

A4)

Q5) Has the applicant, its parent company, or any branch or subsidiary of it or its parent company, to the best of their knowledge after due and proper enquiry, been the subject of any investigation, indictment, penalty, fine, conviction or civil enforcement action related to terrorism financing or breach of sanctions in the past seven years?

A5)

Q6) Has the applicant its parent company, or any branch or subsidiary of it or its parent company had any Contracts suspended/terminated in the last five years YES / NO If "YES", provide details:

A6)

Q7) Does applicant's organization offer a warranty on its goods or services? (If yes for how long)

A7)

Q8) What level of professional indemnity insurance does the applicant obtain? (for applicants registering as service providers)

A8)

Q9) Is the applicant's company currently involved in any legal proceeding or arbitration related to the applicant's business activities? If yes, please specify.

A9)

Q10) Does the applicant know any TAQA employees or directors on a personal basis (friend, relative) other than the professional relationship the applicant is having with TAQA? If yes, please mention the name(s):

A10)



Q11) Does the applicant have any antibribery, anti money laundering, sanctions and anti corruption policies? Please provide details of checks and controls that the applicant has put in place for avoiding such activities

A11)

Q12) Will any of the processes required to produce the finished product or provide the services have to be sub-contracted/sub-consulted by the Company to other organizations? YES / NO. If "YES", provide details:

A12)

Q13) Will the applicant be making any payments on behalf of TAQA to a government official or government authorities? YES / NO. If "YES", provide details:

- We hereby declare that the information given in this form and its attachments is true and accurate to the best of our knowledge.
- We acknowledge that the completion of this form does not entitle us to be registered with TAQA nor to be invited to any RFQs & RFPs.
- We undertake to inform Supply Chain Department, TAQA immediately of any changes to the information contained herein and will update/confirm this information on an annual basis.

Signature: _____

Full Name: _____

Designation: _____

On Behalf: _____

Date: _____